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Attorney Docket **P1942R1**

PATENT

EV 351928472 US: Express Mail Number

July 25, 2003: Date of Deposit

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



**NON-PROVISIONAL APPLICATION TRANSMITTAL UNDER 37 CFR 1.53(b)**

Transmitted herewith for filing is a non-provisional patent application:

Inventor(s) (or Application "Identifier"):

Anan Chuntharapai, Colma, CA  
Iqbal Grewal, Fremont CA  
Kyung Jin Kim, Cupertino CA  
Minhong Yan, Burlingame, CA

Title: **TACI Antibodies and Uses Thereof**

**1. Type of Application**

- ☐ This application is for an original, non-provisional application.
- ☒ This is a non-provisional application claiming benefit from provisional application no. 60/398,530, filed July 25, 2002, the entire disclosure of which is hereby incorporated by reference.
- ☐ This is a ☐ continuation-in-part ☐ continuation ☐ divisional application claiming priority to application Serial Number \_\_, filed \_\_, the entire disclosure of which is hereby incorporated by reference.

**2. Papers Enclosed Which Are Required For Filing Date Under 37 CFR 1.53(b) (Non-provisional)**

93 pages of specification  
5 pages of claims  
1 page of abstract  
16 sheets of drawings  
☐ formal ☒ informal

**3. Declaration or Oath**

(for new and CIP applications; also for Cont./Div. where inventor(s) are being added)  
X An executed declaration of the inventor(s) ☐ is enclosed ☒ will follow.

\_\_\_\_ *(for Cont./Div. where inventorship is the same or inventor(s) being deleted)*  
A copy of the executed declaration/oath filed in the prior application is enclosed (37 CFR 1.63(d)).

\_\_\_\_ *(for Cont./Div. where inventor(s) being deleted)*  
A signed statement is attached deleting inventor(s) named in the prior application (see 37 CFR 1.63(d)(2) and 1.33(b)).

**4. Assignment**

\_\_\_\_ *(for new and CIP applications)*  
  X   An Assignment of the invention to GENENTECH, INC. [] is enclosed with attached Recordation Form Cover Sheet [X] will follow.

\_\_\_\_ *(for cont./div.)*  
The prior application is assigned of record to Genentech, Inc.

**5. Amendments (for continuation and divisional applications)**

\_\_\_\_ Cancel in this application original claims \_\_ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

\_\_\_\_ A preliminary amendment is enclosed. (Claims added by this amendment have been properly numbered consecutively beginning with the number next following the highest numbered original claim in the prior application.)

**6. Fee Calculation (37 CFR 1.16)**

The fee has been calculated as follows:

CLAIMS FOR FEE CALCULATION					
Number Filed		Number Extra		Rate	Basic Fee 37 CFR 1.16(a)
					\$750.00
Total Claims	62	- 20 =	42	X \$18.00	\$756.00
Independent Claims	23	- 3 =	20	X \$84.00	\$1,680.00
Multiple dependent claim(s), if any				+ \$280.00	\$280.00
Filing Fee Calculation					\$3,466.00

**7. Method of Payment of Fees**

The Commissioner is hereby authorized to charge Deposit Account No. 07-0630 in the amount of \$3,466.00. **A duplicate copy of this transmittal is enclosed.**

**8. Authorization to Charge Additional Fees**

The Commissioner is hereby authorized to charge any additional fees required under 37 CFR §1.16 and 1.17, or credit overpayment to Deposit Account No. 07-0630. **A duplicate copy of this transmittal is enclosed.**

**9. Additional Papers Enclosed**

- ☐ Information Disclosure Statement (37 CFR §1.98) w/ PTO-1449 and citations
- ☐ Submission of "Sequence Listing", computer readable copy, certificate re: sequence listing, and/or amendment pertaining thereto for biological invention containing nucleotide and/or amino acid sequence.
- ☐ A new Power of Attorney or authorization of agent.
- ☒ Other: Application Data Sheet

**10. Maintenance of Copendency of Prior Application (for continuation and divisional applications)**

*[This item must be completed and the necessary papers filed in the prior application if the period set in the prior application has run]*

- \_\_\_\_\_ A petition, fee and/or response has been filed to extend the term in the pending prior application until
- \_\_\_\_\_ A copy of the petition for extension of time in the **prior** application is attached.


**11. Correspondence Address:**

X Address all future communications to:

Attn: Elinor K. Shin

Respectfully submitted,  
GENENTECH, INC.

Date: July 25, 2003

By:   
Elinor K. Shin  
Reg. No. 43,117  
Telephone No. (650) 225-3536



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